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Fitness check of the Polluter Pays Principle application to the environment

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Introduction

The polluter pays principle (PPP) should be implemented in EU environmental policies, as set out in Article 191(2) of the Consolidated Version of the Treaty on the Functioning of the European Union <u>EUR-Lex-12008E191 - EN (europa.eu)</u>.

The PPP requires polluters to pay for the measures they take to stop pollution happening and for the pollution they cause. Implementing the principle provides an incentive to avoid damaging the environment at source and makes polluters responsible. For example, does an industrial operator pay for pollution abatement systems and risk management systems, and does that operator pay for remediation of any environmental damage that does occur, or for any costs to society of pollution? What is at stake therefore is environmental effectiveness, economic efficiency and a just transition that minimises social inequalities resulting from impacts on and policies for the environment and in which measures to protect the environment are implemented in a socially fair and inclusive way.

The European Court of Auditors has concluded that the PPP[1] is reflected and implemented to varying degrees in EU environmental policies and its coverage and implementation is therefore incomplete. Following up on the Court's recommendation, the Commission announced in its Zero pollution action plan that it would prepare a 'recommendation on how to better implement the polluter pays principle on the basis of a fitness check in 2024'.

The fitness check will consider whether EU and national policies ensure polluters bear the cost of measures to prevent, control and remedy pollution. It covers aspects such as the use of market-based instruments by the EU and the EU Member States, indirectly paying the polluter through environmentally harmful subsidies or possibly failing to implement the PPP in the context of EU funds, how environmental liabilities are dealt with and the use of pricing in policies.

The objective of this public consultation is to collect stakeholders' views — along with any evidence they might provide — on the implementation of the PPP in the EU, what is working well and not so well, and the EU added value of its implementation.

[1] ECA (2021). Special Report 12/2021.

About you

Dutch
English
Estonian
Finnish
French
German
Greek
Hungarian
Irish
Italian
Latvian
Lithuanian
Maltese
Polish
Portuguese
Romanian
Slovak
Slovenian
Spanish
Swedish
*I am giving my contribution as
Academic/research institution
Business association
Company/business
Consumer organisation
EU citizen
Environmental organisation
Non-EU citizen
Non-governmental organisation (NGO)

*Language of my contribution

Bulgarian

Croatian

Czech

Danish

Public authority		
Trade union		
Other		
*First name		
Oliver		
*Surname		
LOEBEL		
*Email (this won't be published)		
oliver.loebel@eureau.org		
*Organisation name		
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Afghanistan Djibouti	Libya	Saint Martin
Åland Islands Dominica	Liechtenstein	Saint Pierre and
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0	Albania	0	Dominican	0	Lithuania	Saint Vincent
			Republic			and the
						Grenadines
	Algeria		Ecuador		Luxembourg	Samoa
	American Samoa		Egypt		Macau	San Marino
	Andorra		El Salvador		Madagascar	São Tomé and
						Príncipe
	Angola	0	Equatorial Guinea	a [©]	Malawi	Saudi Arabia
0	Anguilla		Eritrea		Malaysia	Senegal
0	Antarctica		Estonia		Maldives	Serbia
	Antigua and		Eswatini		Mali	Seychelles
	Barbuda					
	Argentina	0	Ethiopia		Malta	Sierra Leone
0	Armenia		Falkland Islands		Marshall Islands	Singapore
	Aruba		Faroe Islands	0	Martinique	Sint Maarten
	Australia		Fiji		Mauritania	Slovakia
	Austria		Finland	0	Mauritius	Slovenia
	Azerbaijan		France		Mayotte	Solomon Islands
0	Bahamas		French Guiana	0	Mexico	Somalia
	Bahrain		French Polynesia		Micronesia	South Africa
	Bangladesh		French Southern		Moldova	South Georgia
			and Antarctic			and the South
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	Barbados		Gabon		Monaco	South Korea
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	Belize		Ghana		Montserrat	Sri Lanka
	Benin		Gibraltar		Morocco	Sudan
0	Bermuda		Greece		Mozambique	Suriname
	Bhutan		Greenland		Myanmar/Burma	Svalbard and
						Jan Mayen
	Bolivia		Grenada		Namibia	Sweden

	Bonaire Saint Eustatius and Saba		Guadeloupe	0	Nauru	0	Switzerland
0	Bosnia and Herzegovina	0	Guam	0	Nepal	0	Syria
0	Botswana	0	Guatemala	0	Netherlands	0	Taiwan
0	Bouvet Island		Guernsey		New Caledonia	0	Tajikistan
0	Brazil		Guinea		New Zealand	0	Tanzania
0	British Indian Ocean Territory	0	Guinea-Bissau	0	Nicaragua	0	Thailand
0	British Virgin Islands	0	Guyana	0	Niger	0	The Gambia
0	Brunei		Haiti		Nigeria	0	Timor-Leste
0	Bulgaria		Heard Island and	0	Niue	0	Togo
			McDonald Islands	3			
0	Burkina Faso		Honduras	0	Norfolk Island	0	Tokelau
0	Burundi		Hong Kong		Northern	0	Tonga
					Mariana Islands		
0	Cambodia		Hungary		North Korea	0	Trinidad and
							Tobago
0	Cameroon		Iceland		North Macedonia	0	Tunisia
0	Canada		India	0	Norway	0	Türkiye
0	Cape Verde		Indonesia		Oman	0	Turkmenistan
0	Cayman Islands		Iran		Pakistan	0	Turks and
							Caicos Islands
0	Central African		Iraq		Palau	0	Tuvalu
	Republic						
0	Chad		Ireland		Palestine	0	Uganda
0	Chile		Isle of Man		Panama	0	Ukraine
0	China		Israel	0	Papua New	0	United Arab
					Guinea		Emirates
0	Christmas Island		Italy	0	Paraguay	0	United Kingdom
0	Clipperton		Jamaica		Peru	0	United States

0	Cocos (Keeling)	Japan	0	Philippines	0	United States
	Islands					Minor Outlying
						Islands
	Colombia	Jersey		Pitcairn Islands		Uruguay
	Comoros	Jordan	0	Poland	0	US Virgin Islands
0	Congo	Kazakhstan		Portugal	0	Uzbekistan
0	Cook Islands	Kenya		Puerto Rico	0	Vanuatu
0	Costa Rica	Kiribati		Qatar	0	Vatican City
0	Côte d'Ivoire	Kosovo		Réunion	0	Venezuela
0	Croatia	Kuwait		Romania	0	Vietnam
0	Cuba	Kyrgyzstan		Russia	0	Wallis and
						Futuna
0	Curaçao	Laos		Rwanda	0	Western Sahara
0	Cyprus	Latvia		Saint Barthélemy		Yemen
0	Czechia	Lebanon		Saint Helena	0	Zambia
				Ascension and		
				Tristan da Cunha		
0	Democratic	Lesotho		Saint Kitts and	0	Zimbabwe
	Republic of the			Nevis		
	Congo					
0	Denmark	Liberia		Saint Lucia		

The Commission will publish all contributions to this public consultation. You can choose whether you would prefer to have your details published or to remain anonymous when your contribution is published. Fo r the purpose of transparency, the type of respondent (for example, 'business association, 'consumer association', 'EU citizen') country of origin, organisation name and size, and its transparency register number, are always published. Your e-mail address will never be published. Opt in to select the privacy option that best suits you. Privacy options default based on the type of respondent selected

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Public

Organisation details and respondent details are published: The type of respondent that you responded to this consultation as, the name of the organisation on whose behalf you reply as well as its transparency number, its size, its country of origin and your contribution will be published. Your name will also be published.

- I agree with the personal data protection provisions
- 1. Are you familiar with the polluter pays principle?
 - I have never heard about the polluter pays principle before this survey
 - I have only a general idea of what the polluter pays principle means
 - I am familiar with the polluter pays principle
- 2. How familiar are you with the implementation of the polluter pays principle?
 - I have no idea how the principle is implemented
 - I am familiar with its implementation in national legislation only
 - I am familiar with its implementation in at least one piece of the EU environmental legislation
 - I am familiar with its implementation in various pieces of the EU environmental legislation
- 3. How important do you consider it is to ensure that the polluter pays principle is implemented?
 - It is a major priority
 - It is important
 - It is important, but only to a limited degree
 - It is not important

Part 1: effectiveness

4. In your opinion, to what extent do polluters in the following sectors bear the costs for the pollution they are directly responsible for? [Rank from 1 'not at all' to 5 'too large an extent', or select 'don't know']

	1 Not at all	2 Insufficient extent	3 To some extent	4 Sufficient extent	5 Too large an extent	Don't know
Agriculture, forestry and fishing	•	0	0	0	0	0
Mining and quarrying	0	•	0	0	0	0
Manufacturing of food products and beverages	0	•	0	0	0	0
Manufacturing of tobacco products	0	0	0	0	0	•
Manufacture of textiles, wearing apparel, leather and related products	•	0	0	0	0	0
Manufacture of wood, paper and related products	0	0	•	•	0	0
Manufacture of coke and refined petroleum products	0	0	0	•	0	•
Manufacture of chemicals and chemical products incl. pharmaceutical ingredients and products	•	0	•	0	•	0
Manufacture of rubber and plastic products	•	0	0	0	0	0
Manufacture of basic metals and metal products	0	0	0	0	0	•
Manufacture of electronic and electrical equipment	0	0	0	•	0	0
Manufacture of vehicles, transporting and storage	0	0	0	0	0	•
Electricity, gas, steam and air conditioning supply	0	0	0	0	0	•
Water supply; sewerage; waste management and remediation activities	0	0	0	•	0	0
Construction	0	0	0	0	0	•
Wholesale and retail	0	0	0	0	0	•
Non-financial service activities	0	0	0	0	0	•

Financial and insurance activities	0	0	0	0	0	•
Public administration and defence	0	•	0	0	0	0
Other	0	0	0	0	0	0

lf (other, please specify:		

5. In your opinion, to what extent are the following polluter pays principle implementation instruments effective for **preventing/reducing** environmental pollution caused by human activities? [Rank each instrument from 1 'not effective at all ' to 5 'fully effective', or select 'don't know']

	1 Not effective at all	2	3	4	5 Fully effective	Don' t know
Command and control measures (licensing procedures, bans, emission limit values, administrative orders and sanctions)	0	0	0	0	•	0
Market-based/economic instruments (subsidies /feed-in tariffs, taxes, charges, fees, tradable permits and quotas, Payment for Ecosystem Services, liability rules)	0	0	0	•	0	0
Voluntary approaches (voluntary agreements, environmental management systems (e.g. ISO 14001), labelling (e.g. eco-label)	0	•	0	0	0	0
International agreements (i.e. international environmental agreements, and the inclusion of environmental provisions in trade agreements, cooperation agreements and partnerships with third countries)	0	0	0	0	0	•

6. Not all pollution is reduced or stopped. In your opinion, to what extent are the following instruments of the polluter pays principle effective for **remedying** the remaining environmental pollution caused by human activities? [Rank each instrument from 1 'not effective at all' to 5 'fully effective', or select 'don't know']

	1 Not effective at all	2	3	4	5 Fully effective	Don' t know
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Command and control law (licensing procedures, bans, emission limit values, administrative orders and sanctions)	•	0	0	0	•	0
Market-based/economic instruments (subsidies /feed-in tariffs, taxes, charges, fees, tradable permits and quotas, Payment for Ecosystem Services, liability rules)	•	0	0	•	•	•
Voluntary approaches (voluntary agreements, environmental management systems (e.g. ISO 14001), labelling (e.g. eco-label)	•	•	0	0	•	0
International agreements (i.e. international environmental agreements, and the inclusion of environmental provisions in trade agreements, cooperation agreements and partnerships with third countries)	•	0	0	0	•	•

7. In your opinion, what are the most important obstacles to ensure that polluters pay for the pollution they are responsible for? [Rank from 1 'not important' to 5 'very important', or select 'don't know']

	1 Not important	2 Slightly important	3 Moderately important	4 Important	5 Very important	Don' t know
The polluter pays principle is implemented by the most polluting industrial installations, but not by all	0	0	•	0	0	0
Public funds are used to support pollution prevention and control actions instead of the polluter paying for them	0	0	0	•	0	0
Public funds are used for pollution remediation activities even though the polluters are known, exist, and could be made liable	0	0	0	0	•	0
National authorities fail to enforce environmental legislation and to make the polluters pay	0	0	0	0	•	0
Industrial installations are not liable for environmental damage (because it is not captured by national liability rules or by the EU's Environmental Liability Directive)	0	0	0	•	0	0
The polluter pays principle is implemented only partially, as polluters are not required to meet the cost to society of the impact of residual pollution (i.e. pollution that stays within legal requirements)	0	0	0	•	0	0
The price of products and services does not fully reflect (internalise) the environmental damage (externalities) of the products and services' lifecycles	0	0	0	0	•	0
It is difficult to identify the polluters and make them accountable when the pollution originates from diffuse sources (sources that are hard to trace)	0	0	0	0	•	0
EU Member States supplement the income or lower the costs of consumers and producers in the form of subsidies which results in increasing negative environmental impacts (environmentally harmful subsidies)	0	0	•	0	0	0

Concerns about competitiveness of the EU versus non-EU countries implementing the principle differently, potentially leading to relocation of production (and associated pollution) outside the EU	©	•	0	0	0	0
Concerns about competitiveness between EU countries implementing the principle differently	0	0	•	0	0	0
Concerns about the social impact (e.g., on vulnerable households) of implementing the principle more fully	0	0	•	0	0	0
Lack of political willingness to introduce and enforce implementation of the principle	0	0	0	0	•	0

8. In your opinion, are there other factors that may have hindered the effective implementation of the polluter pays principle and prevented it from fully meeting its objectives?

EurEau has called for a wider implementation of the PPP for many years. It should kick in whenever the Precautionary and the Control-at-Source Principles cannot fully address the release of certain pollutants to the environment.

The PPP works from the point when "something" is known to be a pollutant. But of course, things don't start by being "pollutants". For example, plastics where heralded in mid-C20th as being such useful materials for so many tasks. And now there is a legacy of plastic pollution. The same with so many products: pharmaceuticals, PFAS, pesticides, herbicides etc. So, the PPP has to be sufficiently strong as a concept to cut across early views of a "thing" and identify it additionally as a "pollutant" and get producers / vendors / users of these materials to realise the pollution and deal with detriment. The factor that inhibits effective implementation of PPP is the identification of pollution. EU law could be much clearer on the burden of proof of pollution and identification of the polluter, the moment of identification of pollution and the extent / nature of the "payment" (or remedy) to be made. EU law has the concept, but without the levers set out. Justification for making these points clearer protection of biodiversity, health and well-being of people. The Special EU Court of Auditors Report on the Polluter Pays Principle (PPP) concludes that, although enshrined in the EU Treaty, the PPP is included in European legislative acts to varying degrees and its application remains fragmented across the Union.

In addition, Treaty (Article 191(1)) has no legal force to invalidate national legislation if it is not implementing EU law. Therefore, the PPP must be enshrined in all relevant EU legislative proposals in order for it to be effective

The ECA established that polluters only partially bear the cost of contaminating our water resources, in particular when it comes to diffuse pollution. The UWWTD revision shows that new instruments, such as EPR, are being challenged when it comes to diffuse pollution. Arguments refer to the challenges to establish reliable data and demonstrate the direct source of the pollution. Questions are raised as to who should pay for the pollution, the shareholders or the consumers.

Part 2: efficiency

9. In your opinion, does the implementation of the polluter pays principle cause disproportionate costs to operators and consumers? Please rate your level of agreement or disagreement with the following statements (rate from 1 'completely disagree' to 5 'completely agree', or select 'don't know']

	1 Completely disagree	2 Mostly disagree	3 Neither agree nor disagree	4 Mostly agree	5 Completely agree	Don't know
The implementation of the polluter pays principle has led to disproportionate costs in the production of products and the provision of services by EU companies	0	•	0	0	0	0
The implementation of the polluter pays principle has led to disproportionately high prices of products and services for EU consumers	0	0	0	0	0	•
The costs of the implementation of the polluter pays principle has negatively impacted the profitability and competitiveness of EU companies vs their competitors	0	0	0	0	0	•

	described in the report from the European Court of Audit	ors.					
Par	t 3: relevance						
11	. In your opinion, to what extent does the i	molomo	ntatio	n of t	ho n	allutor n	ave.
	nciple contribute to the achievement of the	-			-	-	-
-	een Deal? [Please rate from 1 'not at all' to		_				=
O		1	Atom	, 0, 0	0.00	5	
		Not at	2	3	4	Full	Don't know
		all				extent	KIIOW
	A zero-pollution ambition for a toxic-free environment	0	0	0	0	•	0
	Preserving and restoring ecosystems and biodiversity	0	0	0	0	•	0

0

It is assumed here that the PPP is already widely implemented. This assumption contradicts the reality as

10. In your opinion, has the polluter pays principle been implemented to the

detriment of some social groups?

If you wish, please provide examples.

A fair, healthy and environmentally friendly food

system

Yes

No

In part

Don't know

Accelerating the shift to sustainable and smart mobility	0	0	0	0	•	0
Building and renovating in an energy and resource efficient way	0	0	•	0	0	0
Mobilising industry for a clean and circular economy	0	0	0	0	•	0
Supplying clean, affordable and secure energy	0	0	0	0	•	0
Increasing the EU's Climate ambition for 2030 and 2050	0	0	0	•	0	0
Just transition and leaving no one behind	0	0	0	0	•	0

12. In your opinion, is the implementation of the polluter pays principle in the EU acquis adequate to tackle new or emerging environmental issues and changes in technology (i.e. issues that are not yet generally recognised but potentially having a major impact on human wellbeing and the environment, such as new materials and new production methods, or the increasing use of digital tools)?

- Adequate
- Neither adequate nor inadequate
- Inadequate
- Don't know

Part 4: coherence

13. In your opinion, is the polluter pays principle coherently and consistently implemented in the following areas? [Please rate your answer from 1 'completely disagree' to 5 'completely agree', or select 'don't know']

	1 Completely disagree	2 Mostly disagree	3 Neither agree nor disagree	4 Mostly agree	5 Completely agree	Don't know
Across environmental policies and mechanisms at the EU level	•	0	0	0	0	0
Across environmental policies and mechanisms at the Member State level	•	0	0	0	0	0
Across relevant policies (e. g., agriculture, transport, trade) and strategies at the EU level	•	0	0	0	0	0

Across relevant policies (e. g., agriculture, transport, trade) and strategies at the Member State level	•	•	•	•	•	0
Across trade agreements	0	0	0	0	0	•

14. In your opinion, what is the impact of the EU implementation of the polluter pays principle outside the EU? [Please rate your answer from 1 'very negative' to 5 'very positive', or select 'don't know']

	1 Very negative	2 Negative	3 Neither positive nor negative	4 Positive	5 Very positive	Don't know
Impact on the competitiveness of EU industries vs non-EU industries	0	0	0	0	0	•
Impact on environmental legislation in other developed world regions	0	©	0	0	0	•
Impact on environmental legislation in least-developed countries	•	©	0	0	0	•

Part 5: EU added value

15. In your opinion, to what extent have EU requirements led to your Member State implementing the polluter pays principle in its policies?

- To a large extent
- To a limited extent
- Not at all
- Don't know

16. Market based instruments on Member State level are one approach to implement the polluter pays principle to pollution. Are there cases where you consider the polluter pays principle would have better been implemented through an EU level market based instrument (such as taxes, charges, fees, tradable permits and quotas, Payment for Ecosystem Services)? Please explain your answer below, possibly pointing to specific examples.

pharmaceuticals) do not have to pay today for extra treatment required to meet drinking water standards, thus, putting this burden on drinking water consumers. As a matter of example, while reverse osmosis can remove most PFAS from raw water, it will increase the annual drinking water bill of an average family by €100-200.	thus, putting this burden on drinking water consumers. As a matter of example, while reverse osmosis can remove most PFAS from raw water, it will increase the annual drinking water bill of an average family by
The subsidiarity principle calls for an explicit and clear division of roles: EU rules set a clear goal or oblige Member States to set the target to meet certain ambitions. Member States should then put in place the instruments to achieve these goals/ambitions. Monitoring and enforcement are key in this context.	Member States to set the target to meet certain ambitions. Member States should then put in place the

Please provide any other comment or suggestion you would like to share regarding the evaluation of the implementation of the polluter pays principle in the EU legislation.

It is surprising to see in part 1 Effectiveness, 'Water supply' defined as a polluting sector. Drinking water operators remove pollutants from raw water to meet the strict health-related requirements defined in the Drinking Water directive. Generally, drinking water suppliers pay a fee for the water they abstract from groundwater or surface water bodies to produce drinking water to address the environmental impacts of providing this essential service.

Sewerage (urban wastewater treatment infrastructure) should also not be considered and defined as polluting sector. Urban wastewater treatment plants (UWWTP) remove pollutants released into wastewater by industry, households, service companies (including hospitals) etc. Given technological and financial constraints, UWWTP may not be able to (completely) remove all substances that arrive in the influent. In that case, the UWWTP may act as a pathway (but not a source) of pollutants to the environment.

Please upload a document if you wish to share a more extensive contribution or any background materials that will help us to understand your answers. [Please note the maximum file size is 1 MB, however, multiple files may be uploaded].

Please upload your file(s)

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